



THE PROMOTION OF ACCESS TO INFORMATION MANUAL

ROYAL INVESTMENT MANAGERS
PROPRIETARY LIMITED

ROYAL

INVESTMENT MANAGERS



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ROYAL

INVESTMENT MANAGERS

1. PREAMBLE

- 1.1. The Promotion of Access to Information Act, 2000 ("PAIA") came into operation on 9 March 2001. PAIA seeks, among other things, to give effect to the Constitutional right of access to any information held by the State or by any other person where such information is required for the exercise or protection of any right and gives natural and juristic persons the right of access to records held by either a private or public body, subject to certain limitations, in order to enable them to exercise or protect their rights. Where a request is made in terms of PAIA to a private body, that private body must disclose the information if the requester is able to show that the record is required for the exercise or protection of any rights, and provided that no grounds of refusal contained in PAIA are applicable. PAIA sets out the requisite procedural issues attached to information requests.
- 1.2. Section 51 of PAIA obliges private bodies to compile a manual to enable a person to obtain access to information held by such private body and stipulates the minimum requirements that the manual has to comply with.
- 1.3. This Manual constitutes the Royal PAIA manual. This Manual is compiled in accordance with section 51 of PAIA as amended by the Protection of Personal Information Act, 2013 ("POPIA"), which gives effect to everyone's Constitutional right to privacy. POPIA promotes the protection of personal information processed by public and private bodies, including certain conditions so as to establish minimum requirements for the processing of personal information. POPIA amends certain provisions of PAIA, balancing the need for access to information against the need to ensure the protection of personal information by providing for the establishment of an Information Regulator to exercise certain powers and perform certain duties and functions in terms of POPIA and PAIA, providing for the issuing of codes of conduct and providing for the rights of persons regarding unsolicited electronic communications and automated decision making in order to regulate the flow of personal information and to provide for matters concerned therewith.
- 1.4. This PAIA manual also includes information on the submission of objections to the processing of personal information and requests to delete or destroy personal information or records thereof in terms of POPIA.

2. ABOUT ROYAL

Royal is an investment holding company with a focus in the asset management industry.

3. CONTACT DETAILS

Name of Private Body: Royal Investment Managers Proprietary Limited

Designated Information Officer: Herman Bosman

Email address of Information Officer: privacy@rmih.co.za

Postal address: 12th Floor, the Bank Corner Cradock and Tyrwhitt Avenue, Rosebank, 2196

Street address: 12th Floor, the Bank Corner Cradock and Tyrwhitt Avenue, Rosebank, 2196

Phone number: 0107532410

4. INFORMATION REGULATORS GUIDE

An official Guide has been compiled which contains information to assist a person wishing to exercise a right of access to information in terms of PAIA and POPIA. This Guide is made available by the Information Regulator (established in terms of POPIA). Copies of the updated Guide are available from Information Regulator in the manner prescribed. Any enquiries regarding the Guide should be directed to:

Postal Address: JD House
27 Stiemens Street
Braamfontein
Johannesburg 2001

Telephone Number: (To be updated once the Information Regulator makes this available)

Fax Number: (To be updated once the Information Regulator makes this available)

E-mail Address: infoereg@justice.gov.za

Website: <https://www.justice.gov.za/infoereg/>

5. OBJECTIVES OF THIS MANUAL

The objectives of this Manual are:

- 5.1. to provide a list of all records held by the legal entity;
- 5.2. to set out the requirements with regard to who may request information in terms of PAIA as well as the grounds on which a request may be denied;
- 5.3. to define the manner and form in which a request for information must be submitted; and
- 5.4. to comply with the additional requirements imposed by POPIA.

6. ENTRY POINT FOR REQUESTS

- 6.1. PAIA provides that a person may only make a request for information, if the information is required for the exercise or protection of a legitimate right.
- 6.2. Information will therefore not be furnished unless a person provides sufficient particulars to enable ROYAL to identify the right that the requester is seeking to protect as well as an explanation as to why the requested information is required for the exercise or protection of that right. The exercise of an individual's rights is subject to justifiable limitations, including the reasonable protection of privacy, commercial confidentiality and effective, efficient and good governance. PAIA and the request procedure contained in this Manual may not be used for access to a record for criminal or civil proceedings, nor should information be requested after the commencement of such proceedings.
- 6.3. The Information Officer has been delegated with the task of receiving and co-ordinating all requests for access to records in terms of PAIA, in order to ensure proper compliance with PAIA and POPIA.
- 6.4. The Information Officer will facilitate the liaison with the internal legal team on all of these requests.
- 6.5. All requests in terms of PAIA and this Manual must be addressed to the Information Officer using the details in paragraph 3 above.

7. AUTOMATICALLY AVAILABLE INFORMATION

- 7.1. Information that is obtainable via the Royal website about Royal is automatically available and need not be formally requested in terms of this Manual.
- 7.2. The following categories of records are automatically available for inspection, purchase or photocopying:
 - 7.2.1. brochures
 - 7.2.2. press releases
 - 7.2.3. publication; and
 - 7.2.4. various other marketing and promotional material.

8. INFORMATION AVAILABLE IN TERMS OF POPIA

- 8.1. In terms of POPIA, personal information must be processed for a specified purpose. The purpose for which data is processed by Royal will depend on the nature of the data and the particular data subject. This purpose is ordinarily disclosed, explicitly or implicitly, at the time the data is collected. Please also refer to the Royal's Privacy Policy for further information.
- 8.2. **Categories of data subjects and personal information collected by Royal**

Shareholders	Shareholder personal information
Clients (including potential and previous clients)	Personal/special personal information
	Contact information
	Bank details, e.g. account number, etc.
	Biometric information
	Surveillance information (e.g. CCTV footage)
	Client contracts
	Location information
	Data collected through cookies and tracking technologies
	Data collected through surveys
	Third-party information, such as from credit bureaux and the Com-panies and Intellectual Property Commission (CIPC).

Categories of data subjects	Categories of Personal Information collected	
Suppliers, service providers, or contractors	Supplier or supplier representative personal information	
	Supplier contracts	
	Supplier bank details	
	Biometric information of supplier representatives	
	Surveillance information of supplier representatives (e.g. CCTV footage)	
	Third-party information, such as from credit bureaux and the Com-panies and Intellectual Property Commission (CIPC).	
	Employees (prospective, previous and existing employees)	Employee personal information
		Employee education and psychometrics records
		Employee medical information
		Employee disability information
Employee biometric information		
Employee pension and provident fund information		
Employee bank details		
Employee tax and financial information		
Employee contracts		
Employee beneficiary information		
Employee performance records		
Payroll records		
Electronic access records		
Physical access records		
Surveillance records		
Health and safety records		
Training records		
Background checks		
Criminal checks		
Employment history		
Employee vehicle registration		

Categories of data subjects	Categories of Personal Information collected
Job applicants	Curriculum vitae and application forms
	Criminal checks
	Background checks
Family members of Employees	Personal information
	Medical and disability information
	Personal information acquired for processing travel documents
Children of Employees	Child's personal information processed e.g. birth certificate, etc.
	Child's medical information and disability information
	Child's information acquired for processing travel documents
Visitors	Physical access records
	Electronic access records, scans and photographs
	Surveillance records (e.g. CCTV footage)
	Biometric information

8.3. The purpose of processing personal information

- 8.3.1. to provide you with a service which you have requested from Royal;
- 8.3.2. communication with data subjects;
- 8.3.3. to improve our services;
- 8.3.4. conducting research and compiling research reports;
- 8.3.5. provision of support services to data subjects;
- 8.3.6. preparing aggregated and anonymised reports;
- 8.3.7. to manage accounts, receive services and process payments;
- 8.3.8. to assess the suitability of job applicants for employment;
- 8.3.9. meeting legal obligations in respect of employment equity and to comply with other applicable laws.

8.4. The recipients or categories of recipients to whom the personal information may be supplied

- 8.4.1. business partners;
- 8.4.2. statutory oversight bodies, regulators or judicial commissions of enquiry making a request for data;
- 8.4.3. any court, administrative or judicial forum, arbitration making a request for data or discovery in terms of the applicable rules (i.e. South African Revenue Services, or another similar authority and anyone making a successful application for access in terms of PAIA; and
- 8.4.4. any person who conducts business with the Royal, in the ordinary course of business;
- 8.4.5. companies that provide services to Royal or act on its behalf may have access to information about data subjects; and
- 8.4.6. third parties where the data subject provides consent.

8.5. Planned transborder flows of personal information

- 8.5.1. countries outside South Africa, in which case Royal will fully comply with applicable data privacy and protection legislation. This may happen if the Royal's servers or suppliers and service providers are based outside South Africa, or if the Royal's services are hosted in systems or servers outside South Africa and/or if a data subject uses Royal's services while visiting countries outside this area. These countries may not have data-protection laws which are similar to those of South Africa.
- 8.5.2. If Royal transfers personal information outside of South Africa, Royal will make sure that the information is protected in the same way as if it was being used in South Africa. Royal will use one of the following safeguards:
 - 8.5.2.1. transfer to another country whose privacy legislation ensures an adequate level of protection of personal information similar or equivalent to South Africa; or
 - 8.5.2.2. put in place a contract with the third-party that means they must protect personal information to the same standards as South Africa.

8.6. A general description of information security measures to be implemented by Royal

Royal takes extensive information security measures to ensure the confidentiality, integrity and availability of personal information in our possession. Royal takes appropriate technical and organisational measures designed to ensure that personal data remains confidential and secure against unauthorised or unlawful processing and against accidental loss, destruction or damage.

9. INFORMATION AVAILABLE IN TERMS OF OTHER LEGISLATION

Information is available in terms of certain provisions of the following legislation to the persons or entities specified in such legislation:

- » *Administration of Estates Act 66 of 1965*
- » *Basic Conditions of Employment Act 75 of 1997*
- » *Companies Act 71 of 2008*
- » *Compensation for Occupational Injuries and Health Diseases Act 130 of 1993*
- » *Competition Act 89 of 1998*
- » *Copyright Act 98 of 1978*
- » *Electronic Communications and Transactions Act 25 of 2002*
- » *Employment Equity Act 55 of 1998*
- » *Financial Advisory and Intermediary Services Act 37 of 2002*
- » *Financial Intelligence Centre Act 38 of 2001*
- » *Income Tax Act 58 of 1991*
- » *Labour Relations Act 66 of 1995*
- » *Prevention of Organised Crime Act 121 of 1998*
- » *Promotion of Access to Information Act 25 of 2000*
- » *Protection of Constitutional Democracy Against Terrorist and Related Activities Act 33 of 2004*
- » *Occupational Health & Safety Act 85 of 1993*
- » *Pension Funds Act 24 of 1956*
- » *Skills Development Act 97 of 1998*
- » *Skills Development Levies Act 9 of 1999*
- » *Stock Exchanges Control Amendment Act 54 of 1995* (and the rules and listing requirements of the JSE Securities Exchange authorised in terms thereof)
- » *Unemployment Contributions Act 4 of 2002*
- » *Unemployment Insurance Act 63 of 2001*
- » *Value Added Tax Act 89 of 1991*
- » *Financial Intelligence Centre Act 38 of 2001*

10. CATEGORIES OF RECORDS AVAILABLE UPON REQUEST

- 10.1. Royal maintains records on the categories and subject matters listed below. Please note that recording a category or subject matter in this Manual does not imply that a request for access to such records would be honoured. All requests for access will be evaluated on a case by case basis in accordance with the provisions of PAIA.

- 10.2. Please note further that many of the records held by Royal are those of third parties, such as clients and employees, and Royal takes the protection of third party confidential information very seriously. In particular, where Royal acts as professional advisors to clients, many of the records held are confidential and others are the property of the client and not of Royal. For further information on the grounds of refusal of access to a record please see paragraph 11.5 below. Requests for access to these records will be considered very carefully. Please ensure that requests for such records are carefully motivated.

Category of records	Records
	» Memoranda and Articles of Association
	» Financial records
	» Operational records
	» Intellectual property
	» Marketing records
	» Internal correspondence
	» Service records
	» Statutory records
	» Internal policies and procedures
	» Minutes of meetings
	» Any personal records provided to us by our personnel
	» Any records a third party has provided to us about any of their personnel
	» Conditions of employment and other personnel-related contractual and quasi legal records
	» Employment policies and procedures
	» Internal evaluation and disciplinary records and
	» Other internal records and correspondence.
	» Contracts with the client and between the client and other persons
	» Personnel, client, or RMI records which are held by another party as opposed to being held by RMI
	» Records held by RMI pertaining to other parties, including financial records, correspondence, contractual records, records provided by the other party, and records third parties have provided about the contractors or suppliers
	» Information relating to RMI
	» Research information belonging to RMI or carried out on behalf of a third party
Internal records	
The records listed pertain to RMI's own affairs	
Personnel records	
For the purposes of this section, "personnel" means any person who works for or provides services to or on behalf of RMI and receives or is entitled to receive any remuneration and any other person who assists in carrying out or conducting the business of RMI. This includes partners, directors, all permanent, temporary and part-time staff as well as consultants and contract workers.	
Client-related records	
Other third party records	
Records are kept in respect of other parties, including without limitation joint ventures and consortia to which RMI is a party, contractors and sub-contractors, suppliers, service providers, and providers of information regarding general market conditions. In addition, such other parties may possess records which can be said to belong to RMI.	
Other records	

11. REQUEST PROCEDURE

11.1. Completion of the prescribed form

- 11.1.1. Any request for access to a record in terms of PAIA must substantially correspond with Form C of Annexure B to Government Notice No. R.187 dated 15 February 2002 and should be specific in terms of the record requested. Please refer to Appendix A.
- 11.1.2. A request for access to information which does not comply with the formalities as prescribed by PAIA will be returned to you.
- 11.1.3. POPIA provides that a data subject may, upon proof of identity, request RMI to confirm, free of charge, all the information it holds about the data subject and may request access to such information, including information about the identity of third parties who have or have had access to such information.
- 11.1.4. POPIA also provides that where the data subject is required to pay a fee for services provided to him/her, RMI must provide the data subject with a written estimate of the payable amount before providing the service and may require that the data subject pays a deposit for all or part of the fee.
- 11.1.5. Grounds for refusal of the data subject's request are set out in PAIA and are discussed below.
- 11.1.6. POPIA provides that a data subject may object, at any time, to the processing of personal information by RMI, on reasonable grounds relating to his/her particular situation, unless legislation provides for such processing. The data subject must complete the prescribed form attached hereto as Appendix C and submit it to the Information Officer at the postal or physical address, facsimile number or electronic mail address set out above.
- 11.1.7. A data subject may also request RMI to correct or delete personal information about the data subject in its possession or under its control that is inaccurate, irrelevant, excessive, out of date, incomplete, misleading or obtained unlawfully; or destroy or delete a record of personal information about the data subject that RMI is no longer authorised to retain records in terms of POPIA's retention and restriction of records provisions.

11.1.8. A data subject that wishes to request a correction or deletion of personal information or the destruction or deletion of a record of personal information must submit a request to the Information Officer at the postal or physical address, facsimile number or electronic mail address set out above on the form attached hereto as Appendix D.

11.2. Proof of identity

Proof of identity is required to authenticate your identity and the request. You will, in addition to this prescribed form, be required to submit acceptable proof of identity such as a certified copy of your identity document or other legal forms of identity.

11.3. Payment of the prescribed fees

- 11.3.1. There are two categories of fees which are payable:
 - 11.3.1.1. The request fee: R50
 - 11.3.1.2. The access fee: This is calculated by taking into account reproduction costs, search and preparation costs, as well as postal costs. These fees are set out in Appendix B.
- 11.3.2. Section 54 of PAIA entitles RMI to levy a charge or to request a fee to enable it to recover the cost of processing a request and providing access to records. The fees that may be charged are set out in Regulation 9(2)(c) promulgated under PAIA.
- 11.3.3. Where a decision to grant a request has been taken, the record will not be disclosed until the necessary fees have been paid in full.

11.4. Timelines for consideration of a request for access

- 11.4.1. Requests will be processed within 30 (thirty) days, unless the request contains considerations that are of such a nature that an extension of the time limit is needed.
- 11.4.2. Should an extension be required, you will be notified, together with reasons explaining why the extension is necessary.

11.5. Grounds for refusal of access and protection of information

- 11.5.1. There are various grounds upon which a request for access to a record may be refused. These grounds include:
 - » the protection of personal information of a third person (who is a natural person) from unreasonable disclosure;
 - » the protection of commercial information of a third party (for example: trade secrets; financial, commercial, scientific or technical information that may harm the commercial or financial interests of a third party);
 - » if disclosure would result in the breach of a duty of confidence owed to a third party;
 - » if disclosure would jeopardise the safety of an individual or prejudice or impair certain property rights of a third person;
 - » if the record was produced during legal proceedings, unless that legal privilege has been waived;
 - » if the record contains trade secrets, financial or sensitive information or any information that would put RMI (at a disadvantage in negotiations or prejudice it in commercial competition); and/or
 - » if the record contains information about research being carried out or about to be carried out on behalf of a third party or by RMI.
- 11.5.2. Section 70 of PAIA contains an overriding provision. Disclosure of a record is compulsory if it would reveal (i) a substantial contravention of, or failure to comply with the law; or (ii) there is an imminent and serious public safety or environmental risk; and (iii) the public interest in the disclosure of the record in question clearly outweighs the harm contemplated by its disclosure.
- 11.5.3. If the request for access to information affects a third party, then such third party must first be informed within 21 (twenty one) days of receipt of the request. The third party would then have a further 21 (twenty one) days to make representations and/or submissions regarding the granting of access to the record.

12. REMEDIES AVAILABLE TO A REQUESTER ON REFUSAL OF ACCESS

- 12.1. If the Information Officer decides to grant you access to the particular record, such access must be granted within 30 (thirty) days of being informed of the decision.
- 12.2. There is no internal appeal procedure that may be followed after a request to access information has been refused. The decision made by the Information Officer is final. In the event that you are not satisfied with the outcome of the request, you are entitled to apply to a court of competent jurisdiction to take the matter further.
- 12.3. Where a third party is affected by the request for access and the Information Officer has decided to grant you access to the record, the third party has 30 (thirty) days in which to appeal the decision in a court of competent jurisdiction. If no appeal has been lodged by the third party within 30 (thirty) days, you must be granted access to the record.

13. AVAILABILITY OF THIS MANUAL

Copies of this Manual are available for inspection, free of charge, at the offices of Royal and at 12th Floor, the Bank Corner Cradock and Tyrwhitt Avenue, Rosebank, 2196

APPENDIX A FORM C: REQUEST FORM

Access request form

Particulars of Royal's Information Officer

Requests can be submitted either via post, e-mail or fax and should be addressed to the Information Officer as indicated below:

Information Officer	Herman Bosman
Street Address	12th Floor, the Bank Corner Cradock and Tyrwhitt Avenue Rosebank, 2196
Postal Address	12th Floor, the Bank Corner Cradock and Tyrwhitt Avenue Rosebank, 2196
Telephone	0107532410
Email	Herman.bosman@rmih.co.za
Website	www.royalim.co.za

Particulars of person requesting access to the record

- The particulars of the person who requests access to the record must be given below.
- The address and/or fax number in the Republic to which the information is to be sent must be given.
- Proof of capacity in which the request is made, if applicable, must be attached.

Full names and surname: _____

Identity number: _____

Postal address: _____

Fax number: _____

Telephone number: _____

E-mail address: _____

Capacity in which the request is made, when made on behalf of another person:

Particulars of person requesting access to the record (if a legal entity)

- The particulars of the entity who requests access to the record must be given below.
- The address and/or fax number in the Republic to which the information is to be sent must be given.
- Proof of capacity in which the request is made, if applicable, must be attached.

Name of entity: _____

Registration number: _____

Postal address: _____

Fax number: _____

Telephone number: _____

E-mail address: _____

Particulars of person on whose behalf request is made

This section must ONLY be completed if a request for information is made on behalf of another person.

Full names and surname: _____

Identity number: _____

Particulars of record

- Provide full particulars of the record to which access is requested, including the reference number if it is known to you, to enable the record to be requested.
- If the space provided is inadequate, please use a separate folio and attach it to this form. Please sign any additional folios.

Description of record or relevant part of the record: _____

Reference number (if available): _____

Any further particulars of record: _____

FEES

- A request for access to a record will be processed only after a request fee has been paid.
- You will be notified of the amount to be paid as the request fee.
- The fee payable for access to a record depends on the form in which access is required and the reasonable time required to search for and prepare a record.
- If you qualify for exemption of the payment of any fee, please state the reason for exemption.

Reason for exemption of payment of fees:

FORM OF ACCESS TO RECORD

Form in which record is required.

Mark the appropriate box with an **X**

NOTES

- a) Compliance with your request in the specified form may depend on the form in which the record is available.
- b) Access in the form requested may be refused under certain circumstances. In such a case you will be informed whether access will be granted in another form.
- c) The fee payable for access to the record, if any, will be determined partly by the form in which access is requested.

If the record is in written or printed form

Copy of record Inspection of record

If record consists of visual images

View the images Copy of the images Transcription of the images

If the record consists of recorded information that can be reproduced in sound

Listen to the soundtrack (audio) Transcription of soundtrack

If the record is held on computer or in an electronic or machine-readable form (this includes photographs, slides, video recordings, computer generated images, sketches etc.)

Printed copy of record Printed copy of information derived from the record Copy in computer readable form

If you requested a copy or transcription of a record (above) do you wish the copy of transcription to be posted to you? Note that postage is payable.

Yes No

Note that if the record is not available in the language you prefer, access may be granted in the language in which the record is available.

In which language would you prefer the record?

In the event of a disability

If you are prevented by a disability from reading, viewing or listening to the record, state your disability and indicate in the form in which the record is required:

Disability	Form in which record is required
_____	_____
_____	_____
_____	_____

Particulars of right to be exercised or protected

If the space provided is inadequate, please continue on a separate folio and attach it to this form. The requester must sign all folios.

1. Indicate the right to be exercised or protected:

2. Explain why the record requested is required for the exercise or protection of the aforementioned right:

Notice of decision regarding request for access

You will be notified in writing whether your request has been approved or denied. If you wish to be informed in another manner, please specify the manner and provide the necessary particulars to enable compliance with your request.

How would you prefer to be informed of the decision regarding your request for access to the record?

Signed at _____ on this _____ day of _____ 20____

Print name

Signature of requester/person on whose behalf request is made

YOU MUST

1. Complete all necessary spaces
2. Sign the access request form
3. Sign additional folios completed

SEND WITH THIS APPLICATION

1. The request fee
2. Any additional folios completed
3. Copy of Identity Document

APPENDIX B FEES IN RESPECT OF PRIVATE BODIES

Description	Rand
1 The fee for a copy of the manual as contemplated in regulation 9(2)(c) – for every photocopy of an A4-size page or part thereof.	1,10
2 The fees for reproduction referred to in regulation 11(1) are as follows:	
(a) For every photocopy of an A4-size page or part thereof	1,10
(b) For every printed copy of an A4-size page or part thereof held on a computer or in electronic or machine readable form	0,75
(c) For a copy in a computer-readable form on –	
(i) stiffer disc	7,50
(ii) compact disc	70,00
(d)(i) For a transcription of visual images, for an A4-size page or part thereof	40,00
(ii) For a copy of visual images	60,00
(e)(i) For a transcription of an audio record, for an A4-size page or part thereof	20,00
(ii) For a copy of an audio record	30,00
3 The request fee payable by a requester, other than a personal requester, referred to in regulation 11(2)	50,00
4 The access fees payable by a requester referred to in regulation 11(3) are as follows:	
4.1(a) For every photocopy of an A4-size page or part thereof	1,10
(b) For every printed copy of an A4-size page or part thereof held on a computer or in electronic or machine readable form	0,75
(c) For a copy in a computer-readable form on –	
(i) stiffer disc	7,50
(ii) compact disc	70,00
(d)(i) For a transcription of visual images, for an A4-size page or part thereof	40,00
(ii) For a copy of visual images	60,00
(e)(i) For a transcription of an audio record, for an A4-size page or part thereof	20,00
(ii) For a copy of an audio record	30,00
(f) To search for and prepare the record for disclosure, R30,00 for each hour or part of an hour reasonably required for such search and preparation.	
4.2 For purposes of section 54(2) of the Act, the following applies:	
(a) Six hours as the hours to be exceeded before a deposit is payable; and	
(b) one third of the access fee is payable as a deposit by the requester.	
4.3 The actual postage is payable when a copy of a record must be posted to a requester.	

APPENDIX C OBJECTION TO THE PROCESSING OF PERSONAL INFORMATION

in terms of section 11(3) of the Protection of Personal Information Act, 2013 (Act no. 4 of 2013)

Regulations relating to the protection of personal information, 2018

[Regulation 2]

NOTES

- Affidavits or other documentary evidence as applicable in support of the objection may be attached.
- If the space provided for in this Form is inadequate, submit information as an Annexure to this Form and sign each page.
- Complete as is applicable.

A	Details of data subject
Name(s) and surname/registered name of data subject:	
Unique Identifier/Identity Number	
Residential, postal or business address:	
	Code ()
Contact number(s):	
Fax number/E-mail address:	
B	Details of responsible party
Name(s) and surname/Registered name of responsible party:	
Residential, postal or business address:	
	Code ()
Contact number(s):	
Fax number/E-mail address:	
C	Reasons for objection in terms of section 11(1)(d) to (f) (please provide detailed reasons for the objection)
Signed at	on this day of 20

Signature of data subject/designated person

APPENDIX D

REQUEST FOR CORRECTION OR DELETION OF PERSONAL INFORMATION OR DESTROYING OR DELETION OF RECORD OF PERSONAL INFORMATION

In terms of section 24(1) of the Protection of Personal Information Act, 2013 (Act no. 4 of 2013)

Regulations relating to the protection of personal information, 2018

[Regulation 3]

NOTES

1. Affidavits or other documentary evidence as applicable in support of the request may be attached.
2. If the space provided for in this Form is inadequate, submit information as an Annexure to this Form and sign each page.
3. Complete as is applicable.

Mark the appropriate box with an **X**

Request for:

Correction or deletion of the personal information about the data subject which is in possession or under the control of the responsible party.

Destroying or deletion of a record of personal information about the data subject which is in possession or under the control of the responsible party and who is no longer authorised to retain the record of information.

A	Details of the data subject
Name(s) and surname/registered name of data subject:	
Unique identifier/Identity Number:	
Residential, postal or business address:	
	Code ()
Contact number(s):	
Fax number/E-mail address:	
B	Details of responsible party
Name(s) and surname/registered name of responsible party:	
Residential, postal or business address:	
	Code ()
Contact number(s):	
Fax number/E-mail address:	

C	Information to be corrected / deleted / destructed / destroyed
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D	Reasons for *correction or deletion of the personal information about the data subject in terms of section 24(1)(a) Which is in Possession or under the control of the Responsible party; and or Reasons for *destruction or deletion of a record of personal information about the data subject in terms of section 24(1)(b) Which the responsible party is no longer authorised to retain. <i>(Please provide detailed reasons for the request)</i>
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Signed at _____ on this _____ day of _____ 20__

Signature of data subject/designated person

ROYAL

INVESTMENT MANAGERS